

FORM RTO-1449 OPI E SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-55	APPLICATION NO. 09/967,272
		APPLICANTS Carlton Bartels et al.	CONFIRMATION NO. 6788
		FILING DATE September 28, 2001	GROUP ART UNIT 3624

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SS	2001/0032168	10/18/2001	Adamson et al.	705	37	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
SS	"The world's first markets for trading emissions credits . . .," Dow Jones Business News, October 17, 2000.
SS	"Cantor Fitzgerald and PricewaterhouseCoopers to Create Global Hub of Carbon Commerce: Market to be Powered by B2B Leader, eSpeed," Business Wire, October 17, 2000.
SS	"Cantor Fitzgerald and PricewaterhouseCoopers to Create Global Hub of Carbon Commerce: Market to be Powered by B2B Leader, eSpeed," Press Release, October 17, 2000.
SS	John J. Fialka, "New Emission-Credits Market Is Expected To Resemble Fast Commodity Exchange," The Wall Street Journal, October 17, 2000.
SS	"EU Introduces 10-Year Environment Plan," Reuters, October 17, 2000 as published by EIN Publishing Daily Newsletter "Global Warming Today," dated October 26, 2000.
SS	"Cantor Fitzgerald, PWC Join on GHG Trading," Business Wire, October 17, 2000 as published by EIN Publishing Daily Newsletter "Global Warming Today," dated October 26, 2000.
SS	Jason T. Cone, "Trading greenhouse gas emissions goes online," Earth Times News Service, October 18, 2000.
SS	"Want to Trade in Carbon Emission Credits? CO2e.com Is the Answer!", EarthDot, October 18, 2000.
SS	"Emissions Trading Site Launched; CO2e.com: Brief Article," Energy Intelligence Group, October 18, 2000.
SS	Andrew C. Revkin, "7 Companies Agree to Cut Gas Emissions," The New York Times, October 18, 2000.
SS	"Cantor and PricewaterhouseCoopers Develop On-line Greenhouse Market," The Oil Daily, October 20, 2000.
SS	"Cantor and PricewaterhouseCoopers Develop On-line Greenhouse Market," Utility Environment Report, October 20, 2000.
SS	"CSU Study to Examine Carbon Sequestration," CSU-Chico Release, October 23, 2000 as published by EIN Publishing Daily Newsletter "Global Warming Today," dated October 26, 2000.

EXAMINER

Sandra Snapp

DATE CONSIDERED

5/19/4

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449 O I P E MAR 09 2004 JC13 PATENT & TRADEMARK OFFICE	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. CF-55	APPLICATION NO. 09/967,272
		APPLICANTS Carlton Bartels et al.	CONFIRMATION NO. 6788
		FILING DATE September 28, 2001	GROUP ART UNIT 3624

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
SS	"CO2 May Cause Ragweed to Increase," M2 Communications, EIN Publishing, October 24, 2000 as published by EIN Publishing Daily Newsletter "Global Warming Today," dated October 26, 2000.
SS	"CO2e.com – The Global Hub for Carbon Commerce," Environmental Finance Supplement, October 2000.
SS	Cheryl A. McMullen, "Web site fosters emissions trading," Waste News, November 6, 2000.
SS	Janet Ginsburg, "Letting the Free Market Clear the Air," Waste News, November 6, 2000.
SS	Eric Sorenson, "Washington State Researchers Monitor Forests to Help Save Environment," The Seattle Times, November 13, 2000.
SS	"CO2e.com, the Global Hub for Carbon Commerce, Goes Live at COP6 Climate Conference," Business Week, November 14, 2000.
SS	Vanessa Houlder, "Business sees green controls as a prospect, not just a cost," Financial Times, November 18, 2000.
SS	"BT Moves to Halt Brain Drain," The Sunday Telegraph, November 18, 2000.
SS	Mary Fagan, "PwC to launch online CO2 exchange," Electronic Telegraph, November 19, 2000.
SS	Michael Harrison, "Row Mars Launch of Online Market for Trading Greenhouse Gas 'Permits'," The Sunday Telegraph (London), November 19, 2000.
SS	"PwC/Greenhouse gases ING Group," The Sunday Telegraph, November 19, 2000.
SS	Mary Fagan, "PwC to launch online CO ₂ exchange," The Sunday Telegraph, November 19, 2000.
SS	"Greenhouse emissions trading site opens on internet," Platt's Commodity News, November 20, 2000.
SS	Michael Harrison, "Row mars launch of online market," The Independent, November 20, 2000.
SS	"What the Sunday Business Papers Said," The Independent (London), November 20, 2000.
SS	Steve Levine, "Ex-Soviet States See Gold In Emission Quotas," Wall Street Journal Europe, November 20, 2000.
SS	"PwC launches internet emissions trading bourse," Reuters, November 20, 2000.
SS	"Global Marketplace for Carbon Commerce Opens for Business," Press Release, November 20, 2000.
SS	"CO2e.com Announces the Completion of Two Significant Trades," Press Relase, November 20, 2000.
SS	Steve Levine, "Decline in Industry Proves Profitable for Soviet Plants," Wall Street Journal, November 21, 2000.
SS	"Consultants PricewaterhouseCoopers and financial brokers Cantor Fitzgerald have launched a global Internet exchange for trading greenhouse gas emissions," ZD Net Australia, November 21, 2000.
SS	"Ontario Power Generation buys carbon credits," Megawatt Daily, November 21, 2000.
SS	"Canadian group agrees to CO2 reduction deal," Megawatt Daily, November 21, 2000.

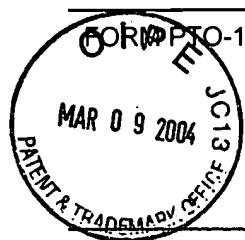
EXAMINER

Sandra Snay

DATE CONSIDERED

5/19/4

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANTS

ATTY. DOCKET NO.
CF-55

APPLICATION NO.
09/967,272

APPLICANTS
Carlton Bartels et al.

CONFIRMATION NO.
6788

FILING DATE
September 28, 2001

GROUP ART UNIT
3624

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
SS	Yasmin D. Arquiza, "Meet tackles effect of climate change on environment," Business World (Philippines), November 22, 2000.
SS	Simon Mann, "Pollution traders ready to clean up," The Sydney Morning Herald, November 23, 2000.
SS	Simon Mann, "Business – Clearing the air on trade in CO2," The Age, November 23, 2000.
SS	"Estonia could earn billions with greenhouse gases," Estonian News Agency, November 23, 2000.
SS	"PwC reveals CO2.com exchange," Accountancy Age website, November 23, 2000.
SS	"Houston: we have carbon trading," Utility Week, November 24, 2000.
SS	Nick Hordern, "Global warming: the greens have the numbers," Financial Review (UK), November 25, 2000.
SS	Nick Hordern, "Industry breathes easier, for now," Australian Financial Review, November 27, 2000.
SS	"Petro Source Logs CO2 Trades," The Oil Daily Co., November 27, 2000.
SS	"Online trading – emissions," European Chemical News, November 27, 2000.
SS	Wade O'Leary, "Online greenies – Bottom of the Harbour," The Daily Telegraph, November 27, 2000.
SS	"E-exchanges continue to trade pollution allowances," http://www.silicon.com , November 28, 2000.
SS	"Global law firm Baker & McKenzie . . .," AAP News Feed, November 28, 2000.
SS	Casper Henderson, "An exchange in climate," Director, January 2001.
SS	"EU maps out CO ₂ strategy," Steel Times, March 2001.
SS	Roger Milne, "UK and Denmark mull carbon trade linkage," Reed Business Information (UK), May 4, 2001.
SS	Cait Murphy, "First/Opinion/Slings and Arrows – Kyoto is Bush-Whacked – That's okay," Fortune, May 14, 2001.
SS	Robin Lancaster, "Carbon goes dotcom," Energy Power Risk Management, May 2001.
SS	Germana Canzi, "Greenhouse Gas Mkt Set to Double in 2001," Dow Jones Newswires, July 20, 2001.
SS	Paul Brown, "UK may take lead in carbon trading," Guardian, July 24, 2001.
SS	Vanessa Houlder, "Carbon trading plans may be hampered by the politics of pollution," Financial Times, August 14, 2001.
SS	Laurent Belsie, "Firms climb toward 'climate neutral' Boldest bids to cut emissions now being led by some big polluters of yore," Christian Science Monitor, August 20, 2001.
SS	"Greenhouse Gas Trading Simulations an Essential Tool," Carbon Monitor, Volume 6, Issue 9, September 2001.
SS	"The Climate for Business is Changing," Environmental Finance Supplement, October 2001.
SS	Ron Feemster, "Don't like emissions? Trade 'em under Kyoto," undated.

EXAMINER Sandra Snapp

DATE CONSIDERED 5/19/4

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.